

# The CPA's Guide to Creating an Engaged, Collaborative Client Base



Running a CPA firm would be a dream, if it weren't for all the clients, right?

We kid, we kid — of course CPAs and accountants love their clients. There would be no business without them! No reason to get out of bed, no reason to lose inches of hairline at tax time.

But as a software company that primarily serves CPAs, SmartVault speaks to thousands of accounting professionals every year. And we have noticed a common thread — **CPA firms have one of the most uncollaborative client bases** in the professional services space.

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# The Problem of an Unengaged Client Base

Nearly every firm has the same burning question — **“How do I get clients to return my calls and emails? Submit forms and documentation in a timely manner?”**

This isn't an inconsequential problem, either. Lack of client engagement can lead to:

- Late document submissions to state and Federal taxing authorities.
- Incomplete forms submitted, resulting in rejection.
- Overtaxing of your already limited staffing resources as you pull all-nighters to make deadlines with last-minute client submissions and change requests.
- Tangible penalties, including monetary fees and penalties that your clients will owe.
- Blame for those consequences diverted to the CPA, rather than the client who waited until the last minute (or *past* the last minute).

Every CPA feels the pinch — unanswered phone calls, unrepplied emails, ignored pleas to just attach one missing document or fill out one measly tax preparation survey. The flood of documents at 6pm on April 15, frantic clients expecting you to work miracles, and then complaining and threatening when they face extra fees and penalties due to their own procrastination.

If this sounds like you and your firm, **you're not alone**. This [client-engagement crunch](#) is a rite of passage for every firm. Even established firms have to deal with the problem of unengaged clients ... but SmartVault has heard the cry and set to work on solutions.

# Why Unengaged Client Bases Happen to Good Firms

To solve the problem of an unengaged client base, it's important to understand why the problem arises in the first place.

On the one hand, it's obvious — **no one wants to think about doing their taxes**. Even if they expect a big refund, they would rather spend their time doing almost anything else, up to and including that long-delayed dental appointment.

Not all of this is your fault as the accounting firm, but CPAs need to take ownership of some of the problems. Tax time may not be fun, **but there are things a CPA can do to make it easier on their clients**. **Have you done everything in your power to absorb some of the complexity yourself** and make the whole process a little less unpleasant for the client? Isn't that what they pay you the big bucks for?

This guide tackles the problem of an unengaged client base head-on — how CPA firms like yours, regardless of size and resources, can foster engagement, cooperation, even collaboration with their client base. The result — happier clients, happier staff, happier life!



# Assess Current Client Engagement Levels

Before you can start building a more engaged client base, let's start by assessing how engaged your clients are in the first place.



## Survey Your Clients

Start by sending a short survey to all your clients. How many of them even answer the survey may start to give you insight into how responsive of a client base you even have. You may think none of your clients will answer a survey, but they may surprise you! It could be that your team was the more unengaged party, and your clients were just waiting for you to take the initiative!

Send the survey by email, text, or both (text gets more opens and responses) and emphasize that you are trying to find out ways to serve them better. Ask them what's working and what's not working about their services. Find out what pain points they faced in the last tax season. Simply showing that you care, that you want to hear from them, may be enough to goose a sizable portion of your client base into higher levels of engagement.

## Survey Your Staff

Don't stop at client feedback — get team feedback too! They will be more responsive (since you sign their paychecks) but they may still have valuable feedback on what's working and what isn't.

Find out what strategies have worked for the team to get clients to engage; what strategies haven't worked. See if someone on the team has a brilliant idea (possibly held over from a previous job) on how to do better.

# Update The Tax Season Workflow

Let's continue the process of getting your own house in order. The quest for better client engagement is a perfect time to audit your tax season workflow and see if it's due for an overhaul.



## Create a clear, client-friendly process

Do you have a step-by-step process that takes each client from end-of-year (or onboarding) to tax day? And if so, whom does that step-by-step process serve? Yourself and your team? Or the client? Too many professional service providers center themselves and their own convenience in their process — “Here’s what I need from *you*, client.”

This is the backward approach. Look at each step and think “How can I make this easier on the *client* rather than on me? How can I take on *more* with this step to make client participation a no-brainer for *them*?”

By developing this kind of process, you create fertile ground for increased client engagement. After all, you’re creating a process that is easy and productive for them to engage *with*!



## Set and communicate deadlines

It can be hard to enforce boundaries. Essentially you are setting terms by which people can do business with you. This means you will end up turning *some* people away.

Nevertheless, setting and communicating deadlines is a boundary worth enforcing. It takes strain off your staff and allows you to serve your clients better, leading to more satisfied clients, a happier staff, more referrals, and *more clients* without the added stress.

Nick Boscia of the firm Boscia & Boscia is a perfect example of this. “We communicated to all our clients that we’re implementing an April 1 deadline. If all your documents are in by April 1, that gives the team two weeks to get everyone prepared and filed for April 15,” Boscia said, stressing that missing this deadline puts the client automatically on the extension path. “I also pitch that this isn’t just to help me – it’s beneficial for the client.”



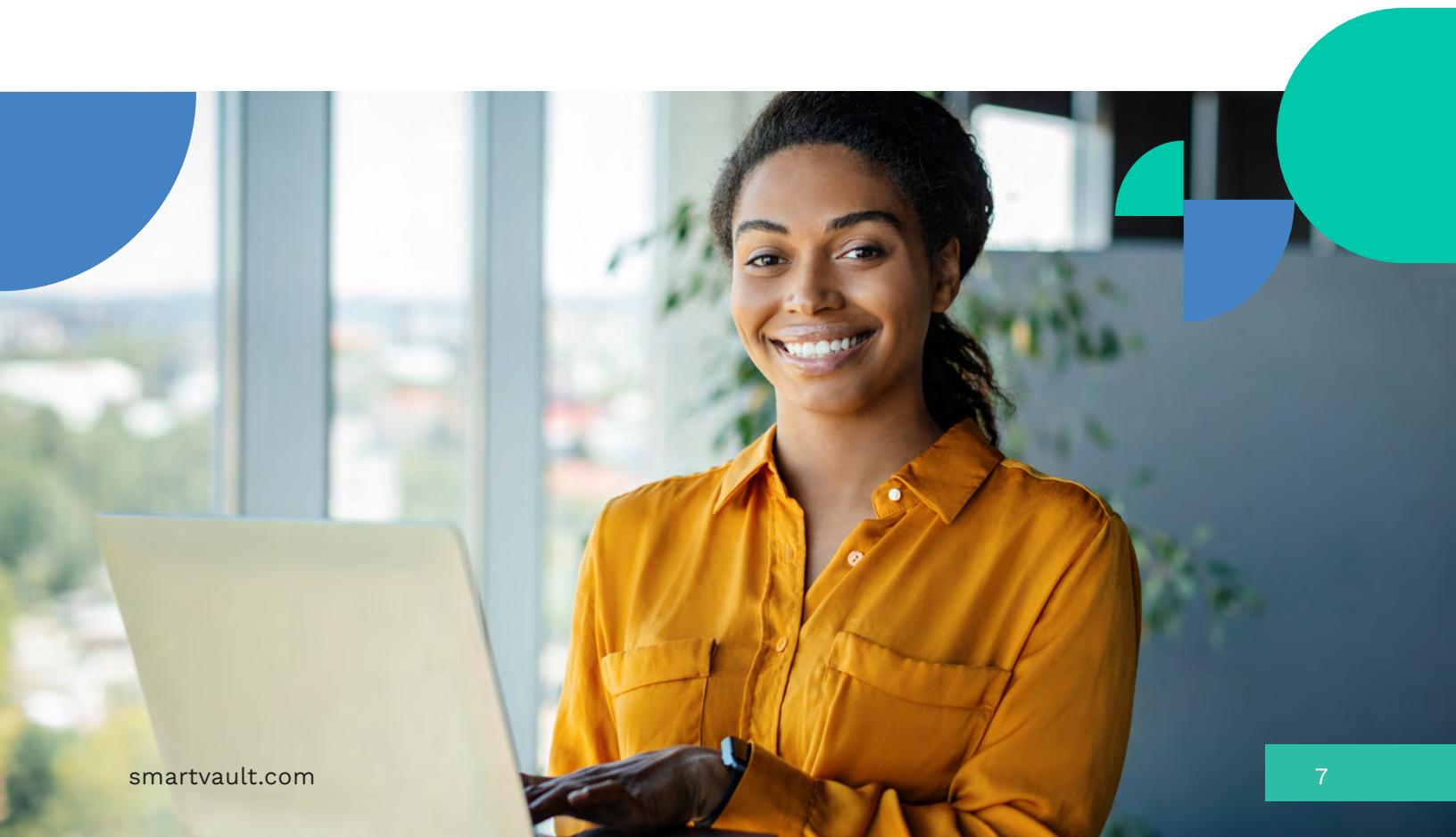
## Implement a source development checklist

If you haven't yet, consider creating a standardized list of documents you request from each client. Hand that list over to the client and provide them with resources on where to find or request each document, since they may not know where to look or which pile to dig under.

Not all of your clients will need to furnish the same documents. Best practice is to leverage software that lets you create customizable templates that clearly indicate which documents are required per client. This lets your clients easily understand, in one place, what is needed from them.

SmartVault makes it easy to request, collect, track, and manage source documents with [SmartRequestAI™](#), an AI-powered document request tool that analyzes each client's prior-year tax return to automatically generate a personalized questionnaire and document checklist. No more generic lists that don't match their situation, and no more starting from scratch for every client.

Once your request is ready, share it digitally with one client or thousands at the click of a mouse. Clients see exactly what's required, can complete a guided questionnaire from any device, and upload documents directly through an easy-to-use, secure client portal. Their files are automatically saved in the correct folders every time, letting you say goodbye to manual organization and misfiling errors. Firms typically save 60–90 minutes per return—time you can reinvest into higher-value work or serving more clients without adding staff.



# Leveraging Technology to Boost Engagement

Technology is your best friend when it comes to increasing client engagement. Dozens of tools can help improve collaboration without adding to your workload — perhaps even subtracting from it! Before you get overwhelmed by the bevy of choices, here are a few of the most important functions you can implement to improve client cooperation (all of them offered by [SmartVault!](#))

## Client Portal

A one-stop shop clients can access 24/7, at their leisure, to interact with numerous functions of your firm.

[Learn More >](#)

## Document Management System

Allows clients, and the team to access documents uploaded by the client.

[Learn More >](#)

## SOC 2 Type 2 Compliance

Nothing less will do for your client's personal files and your firm's security.

[Learn More >](#)

## AI-Powered Intake

AI-powered intake that analyzes prior-year returns to generate personalized questionnaires and document checklists—saving 60–90 minutes per return.

[Learn More >](#)

## File-Locking and Version Control

Ensures that only one person can work on any given document at the time, as well as making sure everyone always works on the most current version.

[Learn More >](#)

## Automated Workflows

Automate the creation of engagement letters, requests, and folder structures, reducing the need for personnel time expenditure, as well as the likelihood of human error.

[Learn More >](#)



# Educating and Empowering Clients

We tend to avoid what we don't understand. Most people are overwhelmed by tax time, and can you blame them? If you want your clients avoiding you, by all means leave them in the dark about the tax preparation process, maintaining an air of mystery.

But if the goal is to engage clients who submit documents on time, the much better course of action is to have the most educated client base possible. Make the process easy for a layperson to understand. Ask yourself of every piece of client-facing material — could a sixth-grader understand this? Make sure your clients can understand as many parts of the process as possible — it will turn them into warriors on behalf of their own finances. And warriors are engaged.

Empowerment is just as important as education. Your secure client portal can be a powerful tool in this regard. Make sure your [clients can access their own documents](#) and monitor the process of their own tax preparation 24/7. Give them guides to help them help themselves through their role at tax time.

# Managing Difficult Clients

If you establish good communication practices early and position yourself correctly, you will hopefully have few problem clients to deal with. However, unresponsive or uncooperative clients happen to the best of us. Here are some strategies to deal with problem clients when they arise.

## Strategies for Following Up with Unresponsive Clients

- **Use automated reminders** by email, text, or whatever channel of communication you have so you don't burn too much personnel time following up with a brick wall. With SmartRequestAI, you can send reminders to clients who haven't submitted their documents and track submission progress in real-time from a single dashboard—no more manual spreadsheets or wondering who's still outstanding.
- **Set escalation protocols** to initiate more formal follow ups if the automated reminders produce no response.
- One of the best ways you can stoke client cooperation is by **incentivizing early responders**. Come up with some sort of discount or reward for proactive and timely clients. Clients will feel twice as good about helping you to do your job — not only do they get a prize, they also get the satisfaction of having it over and done with.

## Handling Last-Minute Rushes Effectively

- **Plan for emergencies** by building buffer time into your staff schedules to accommodate last-minute rushes.
- **Triage workloads** so urgent tasks can receive priority, even if they arrive late.
- **Automate as many processes** as possible to quickly complete repetitive tasks.
- **Have trusted outsourcers on call** in case you need to divert overflow work. Build this rolodex *before* the next rush if at all possible.

## When and How to Set Boundaries

- **Identify problems** early and respond proactively and collaboratively to solve them. Adopt a “can-do” attitude — “How can we fix this?”
- **Communicate and enforce boundaries**, including communication protocols, online portal usage, and deadlines.
- **Walk away if necessary.** If a client relationship is more trouble than it’s worth, don’t hesitate to cut ties. Remember the 80/20 rule — 20% of your clients are probably responsible for 80% of your problems. Think of the outsized relief of being rid of that problem.
- **Protect your team.** Make sure your team is insulated from the problem client as much as possible. Remember, you depend on your team to serve all your clients, not just this one.

# Building a Client-Centric Team Culture

It’s not enough for client engagement to be important to *you* — it has to be a value that redounds through your entire team. After all, your team will probably handle many of the nuts and bolts of client collaboration.

Hold specific team training on the importance of a client-centric culture to foster client cooperation. Consider establishing an award or incentive system for excellent customer service.

Make sure your processes and messages are consistent. Discuss consistent greetings, active listening techniques, and response structures. No matter which team member your client is talking to, he should feel like he’s interacting with the same team — the same brand.

# Measuring Success and Continuous Improvement

You can't improve what you don't measure. If you want more collaborative clients, start tracking your results and look for areas of continuous improvement to apply to next tax season.

**Key metrics related to client engagement to track during tax season include:**

- Client response rate
- Client response speed
- Timing of document submission
- Revenue per client
- Staff productivity
- Client satisfaction scores

At the end of each tax season, use the breathing room to conduct internal reviews of these metrics. Celebrate your strengths, and brainstorm avenues of improvement for any weaknesses. Even consider how you might improve on areas where you are already doing well.

Interview your team and see how they think you did; where there is room for improvement. Survey your clients for constructive feedback as well. Check client retention and missed opportunities for upsells.

Take the lesson from these internal and external reviews and come up with a plan of action for the next tax season. Consider adding client education, automation, technology, and better client segmentation into the process. Most of all, set clear goals for the next tax season and make sure each item of your action plan is in service of those goals.

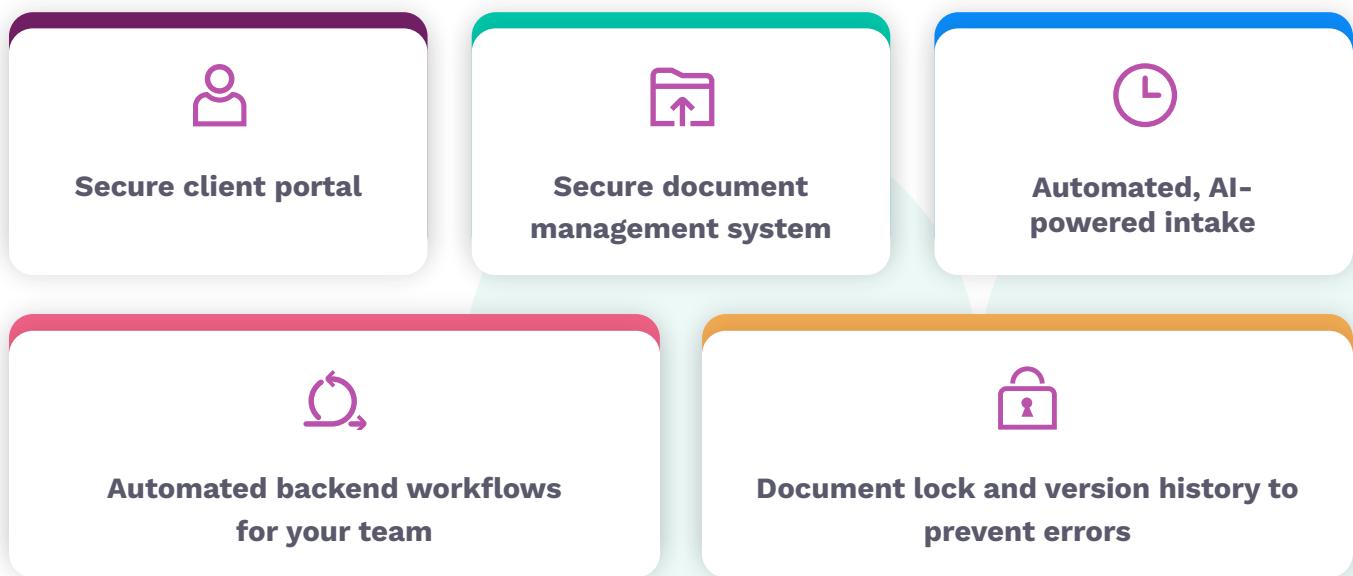


# SmartVault: Your Partner in Client Engagement

Clearly this is a massive task. So what next? Will your firm be the one that develops a foolproof system for making clients excited to interact with their CPA at tax time? If so, write it in a book — every CPA on earth will buy it, and you can retire!

Until then, getting clients more engaged at tax time will be a constant process of improvement, trial and error, devising big initiatives to move the needle small distances. Bending over backwards to remove even the tiniest bit of friction that may be holding a client back from simply submitting a simple document so you have one less headache.

Fortunately, you don't have to go it alone. [SmartVault is your dedicated partner](#) in what often seems like an uphill hike. SmartVault offers a host of features designed specifically to increase client engagement, including but not limited to:



If you're ready to add a rocket engine to that uphill battle, [reach out to SmartVault today for a free demo](#). We know implementing a new multi-function software solution can be intimidating, but we've pulled out all the stops to make this solution quick and easy to implement. The boost in client engagement will be clear in time for next tax season.



SmartVault

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